REMARKS/ARGUMENTS

The foregoing amendments and these remarks are responsive to the non-final Office

Action mailed August 7, 2006. By this Amendment, claims 1-25 have been cancelled and new

method claims 26-39 have been added. No new matter is presented.

In the Office Action, Claims 1-25 were rejected under 35 U.S.C. 102(b) as being anticipated by Ulrich, U.S. Pat. No. 5,409,488 ("Ulrich"); Claims 1-4, 6 and 15-25 were rejected under 35 U.S.C. 102(b) as being anticipated by Fournet-Fayard, U.S. Pat. No. 5,486,174 ("Fournet"); Claims 1, 7 & 8 were rejected under 35 U.S.C. 102(b) as being anticipated by Errico, U.S. Pat. No. 5,554,157 ("Errico"); and Claims 1& 12-14 were rejected under 35 U.S.C. 102(b) as being anticipated by Urbanski U.S. Pat. No. 5,634,925 ("Urbanski"). Applicant submits that Applicant's claims are patentable overall of the references of record.

The present amendments address the Examiner's concerns regarding the construction of the invention relative to the art of record in a transitory state where, the Examiner contends, sliding movement of various components of the art is possible notwithstanding that the art is largely directed to spinal <u>fixation</u> devices. The newly presented claims instead describe a method which is distinguishable from all of the references of record. As noted previously, each vertebra in the human spine has two sets of joints which interact with adjacent upper and lower joints. These joints are known as the facet joints, and are otherwise known as the zygapophyseal or apophyseal joints. Two joints are formed on each lateral side of the vertebra. The superior articular facet faces upward and the inferior articular facet faces downward, such that the superior articular facet of a lower vertebrae abuts the inferior articular facet of an adjacent upper vertebrae. The facet joints are located on the posterior of the spine adjacent the pedicle, lamina, and transverse process. The facet joints generally are hinge-like and allow limited flexion,

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extension, and twisting motion, while preventing excessive motion which could damage the spinal chord. Spinal reconstructive or treatment procedures require the removal of the facet joint and ligament structures. The joint and ligament must then be reconstructed artificially. The challenge in such procedures is to provide strength and stability, while as closely resembling the flexibility and range of motion of the natural facet joint as possible. Known artificial facet joints fail to provide the rigidity that is necessary to support the spine while permitting the flexibility to resemble the facet joint. The references cited would fail to solve this complex problem.

The cited references are all directed to <u>fixation</u> of the spine. Ulrich is directed to a spondylodesis implant ("When such implant is affixed with each of its connection piences to one of three successive vertebrae, by rotating the threaded spindles, the two outer vertebrae can be independently set and fixated with respect to the middle vertebrae," Col 1, lines 63-67; "An implant for fixation and correction of the relative position of vertebrae," Claim 26, line 1).

Fournet similarly does not disclose or suggest structure suitable for a facet replacement. Fournet is directed to a fastener for lumbosacral osteosynthesis. The disc 5j, although angularly movable for purposes of installation, is fixed in place during use ("Then, the surgeon locks the disk (5j) inside the bore (5e) by means of screw (5i)," Col. 3, lines 15-16). Thus, there is no pivoting of the rod relative to the screw.

Errico discloses a rod securing polyaxial locking screw and coupling element assembly. Again, this is a device that is intended for fixing a rod ("This invention relates generally to a polyaxial screw and coupling apparatus for use with orthopedic fixation systems," Col. 1, lines 15-16). The threads 176 receive cooperating threading on locking nut 185 to fix rod 190 in position.

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Urbanski discloses an apparatus and method for spinal fixation. A series of lateral grooves on the screw head mesh with cooperating grooves on the adapter 54 to fix the device in

place. Hex nut 40 tightens the assembly to fix the rod in a desired position.

The afore-described references do not describe the presently-claimed method for creating

an artificial facet, and in fact teach to the contrary. The devices all secure the rod in one place.

A facet replacement according to the invention <u>must</u> allow coordinated movement in order to be

effective, as presented in the pending claims. The devices of the cited references do not allow

such movement.

The invention is an effective solution to the problem of reconstructing and replacing the

facet in a manner that permits the required stability and mobility of an effective prosthetic

device. The references collectively fail to disclose or suggest to one skilled in the art such a

method. Applicant requests reconsideration and allowance of Applicant's pending claims.

This response is accompanied by a Petition for Extension of time, along with

authorization to charge the required fee for a two month extension of time, as well as any

underpayment in fees, to Deposit Account No. 50-0951.

Respectfully submitted,

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